



SFDR Regulation | Information letter

10 March 2021

Rothschild & Co Asset Management Europe announces that 96% of assets managed in its open-ended funds are classified as Article 8 and 9 under SFDR

Rothschild & Co Asset Management Europe has committed to actively support the transition to a sustainable economy and decided to classify the vast majority of its funds in SFDR categories 8 and 9.

In November 2018, the European Commission undertook to cut greenhouse gas (GHG) emissions to achieve climate-neutral economy by 2050. As part of this effort, it asked the financial sector to make reforms to support the transition to a more sustainable economy.

European Regulation (UE) 2019/2088, the “Sustainable Finance Disclosure Regulation (SFDR)” represents part of this project and seeks to improve transparency on the sustainability risks faced by investments¹.

The Regulation takes effect from 10 March 2021 and imposes new transparency obligations on market players and financial advisers. Specifically, it requires portfolio management companies to classify their funds into one of three categories:

- “Article 9” (“dark green”) products, whose objective is sustainable investment, i.e. investment in an economic activity that contributes to an environmental or social objective;
- “Article 8” (“light green”) products, which promote environmental and social characteristics as part of their general investment strategy;
- “Article 6” (“grey”) products, which either consider ESG risks as part of the investment process or are explicitly declared as non-sustainable.

Legal documentation for the funds and details of the new policies on incorporating sustainability risks can be found at: <https://am.eu.rothschildandco.com>

In line with its commitment to a more sustainable economy, Rothschild & Co Asset Management Europe has chosen to classify most of its funds as Article 8 or 9 products, covering 96% of assets under management in its open-ended funds.

96%

of AuM in our
open-ended funds
classified as
Articles 8 or 9²

Notes

¹ “Sustainability risk” means an event or situation in the environmental, social or governance field that, if it occurs, could have a material negative impact on the value of the investment.

² Source : Rothschild & Co Asset Management Europe at 8 March 2021. Data may change at any time.

SFDR Regulation | Classification of main open-ended funds

See below for the list of our main open-ended funds and their classification.

Fund	SFDR Classification		
	Article 9 (dark green)	Article 8 (light green)	Article 6 (grey)
Conviction range			
R-co Conviction Club		●	
R-co Conviction Convertibles Europe		●	
R-co Conviction Credit 12M Euro		●	
R-co Conviction Credit Euro		●	
R-co Conviction Credit SD Euro		●	
R-co Conviction Equity Multi Caps Euro		●	
R-co Conviction Equity Value Euro		●	
R-co Conviction France		●	
R-co Lux Conviction Credit 12M Euro		●	
R-co Lux Conviction Credit Euro		●	
R-co Lux Conviction Equity Value Euro		●	
R-co Euro High Yield		●	
Thematic range			
R-co Thematic Family Businesses		●	
R-co Thematic Gold Mining			●
R-co Thematic New Consumer Trends		●	
R-co Thematic Real Estate		●	
R-co Thematic Silver Plus		●	
R-co Thematic Target 2026 HY		●	
R-co Target 2024 High Yield		●	
R-co Midcap France		●	
Martin Maurel Top Management		●	
Valor range			
R-co Valor		●	
R-co Valor Balanced		●	
R-co Valor Bond Opportunities		●	
R-co Lux Valor		●	
4Change range			
R-co 4Change Climate Credit Euro		●	
R-co 4Change Climate Equity Euro		●	
R-co 4Change Green Bonds	●		
R-co 4Change Human Values		●	
R-co 4Change Moderate Allocation		●	
R-co Lux 4Change Moderate Allocation		●	
OPAL range			
R-co OPAL 4Change Equity Europe		●	
R-co OPAL Absolu		●	
R-co OPAL Biens Réels		●	
R-co OPAL Croissance		●	
R-co OPAL Emergents		●	
R-co OPAL Equilibre		●	
R-co OPAL European Equity Managers		●	
R-co OPAL Modéré		●	
RMM range			
RMM Actions USA		●	
RMM Convertibles		●	
RMM Corporate Variable		●	
RMM Court Terme		●	

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Fund	SFDR Classification		
	Article 9 (dark green)	Article 8 (light green)	Article 6 (grey)
RMM Dollar Plus		●	
RMM Indexi		●	
RMM Patrimoine		●	
RMM Trésorerie		●	
Other ranges			
Elan France Bear			●
Elan Gestion Alternative			●
Essor Emerging Markets		●	
Essor Japan Opportunities			●
Essor USA Opportunities		●	
R-co Allocation Modérée		●	
R-co Risk-Based HQLA Euro			●
R-co Selection Euro		●	
R-co Sérénité PEA			●

For further information

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About Rothschild & Co Asset Management Europe

As the specialised asset management division of the Rothschild & Co group, we offer personalised asset management services to a broad client base of institutional investors, financial intermediaries and distributors. Our development is focused on a range of open-ended funds, marketed under four strong brands: Conviction, Valor, Thematic and 4Change, and leveraging our long-term expertise in active management with conviction as well as in delegated management. Headquartered in Paris and with offices in 10 European countries, Rothschild & Co Asset Management Europe has around 170 employees and EUR 21 billion under management.

For more information see: <https://am.eu.rothschildandco.com>

Rothschild & Co Asset Management Europe, a limited partnership with share capital of EUR 1,818,181.89, located at 29, avenue de Messine - 75008 Paris. Portfolio management company with AMF approval no. GP 17000014, Paris Trade and Companies Register 824 540 173.

This document is not a promotional document.